



## A highly effective aldol reaction mediated by $\text{Ti}(\text{O}-n\text{-Bu})_4/t\text{-BuOK}$ combined reagent

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### Abstract

A combined reagent derived from  $\text{Ti}(\text{O}-n\text{-Bu})_4$  and  $\text{KO}-t\text{-Bu}$  (1:1) has proved to be very effective for the self-aldol addition and also for the cross-aldol condensation between aldehyde and  $\alpha,\beta$ -unsaturated aldehyde such as 2-alkenal or 2-alkynal. © 2000 Elsevier Science Ltd. All rights reserved.

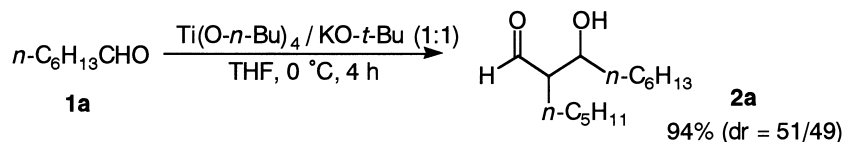
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The aldol addition is a classic organic reaction and a versatile synthetic tool for carbon–carbon bond formation.<sup>1</sup> Since the aldol-type condensation reaction was first discovered by Wurtz from the acid-catalyzed self-condensation reaction of acetaldehyde, much attention has been paid to perfecting this reaction either in yield or in selectivity.<sup>2</sup> Many successful examples using transition metal enolates as well as main group metal enolates have been reported in the recent literature.<sup>3</sup> However, the aldol addition still has some drawbacks which have to be solved. Under either basic or acidic conditions, the problems incurred in the aldol reactions are: (1) the further side reactions of the aldol-type product with enolate; (2) the elimination of the hydroxy group resulting in the formation of  $\alpha,\beta$ -unsaturated aldehyde which further gives side-reactions such as 1,4-Michael addition;<sup>4</sup> and (3) Tishchenko side-reaction.<sup>5</sup> Thus, there is a need for developing a new simple procedure by which  $\beta$ -hydroxy aldehyde can be synthesized directly from aldehyde in high yield.

Recently, we found that the addition of  $\text{Ti}(\text{O}-n\text{-Bu})_4$  to lithium enolate, derived from silyl enolate ( $\text{RCH}=\text{CHOSiMe}_3$ ) and methyllithium, dramatically improved the yields of  $\beta$ -hydroxy-aldehyde in the cross-aldol reactions.<sup>6</sup> Then it occurred to us that the use of a combination of a base and  $\text{Ti}(\text{O}-n\text{-Bu})_4$  in the self-aldol reaction would provide aldehyde alcohol (aldol adduct) effectively. This was indeed the case, and treatment of aldehyde with a  $\text{Ti}(\text{O}-n\text{-Bu})_4/t\text{-BuOK}$ <sup>7,8</sup> reagent afforded the corresponding aldol product in excellent yield. For instance, heptanal (**1a**,

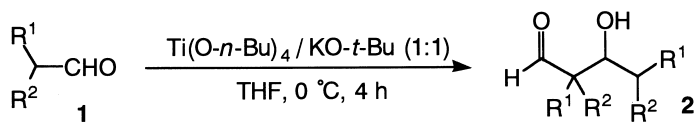
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2.0 mmol) gave 3-hydroxy-2-pentylnonanal (**2a**) in 94% yield upon treatment with a mixture of  $\text{Ti}(\text{O-}n\text{-Bu})_4$  (2.0 mmol) and *t*-BuOK (2.0 mmol) in THF at 0°C for 4 h (Scheme 1).<sup>9</sup> Other representative results are shown in Table 1.



Scheme 1.

Table 1  
 $\text{Ti}(\text{O-}n\text{-Bu})_4/\text{KO-}t\text{-Bu}$ -induced self-aldol addition

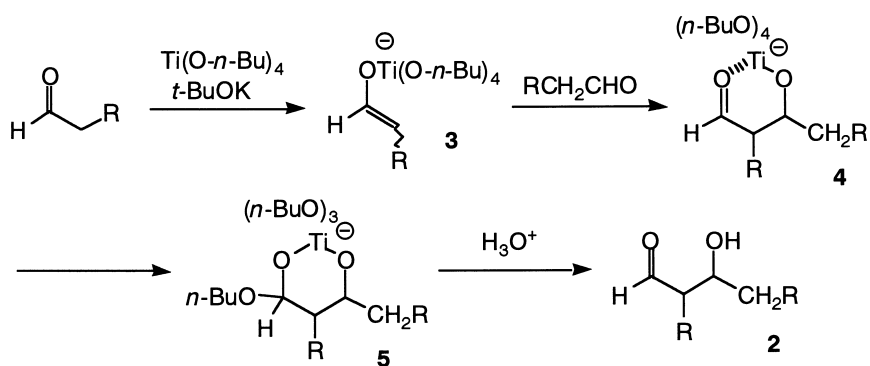


entry	R <sup>1</sup>	R <sup>2</sup>	product	yield (dr) <sup>a</sup>
1	<b>1b</b> <i>n</i> -C <sub>3</sub> H <sub>7</sub>	H	<b>2b</b>	97% (50/50)
2	<b>1c</b> <i>n</i> -C <sub>8</sub> H <sub>17</sub>	H	<b>2c</b>	99% (50/50)
3	<b>1d</b> (CH <sub>3</sub> ) <sub>2</sub> CH	H	<b>2d</b>	85% (80/20)
4	<b>1e</b> PhCH <sub>2</sub>	H	<b>2e</b>	96% (50/50)
5	<b>1f</b> CH <sub>3</sub>	CH <sub>3</sub>	<b>2f</b>	87% <sup>b</sup>

<sup>a</sup> The yield and dr (diastereomer ratio) were determined by <sup>1</sup>H NMR.

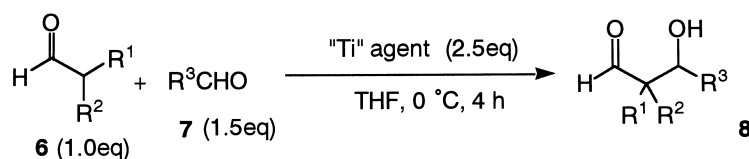
<sup>b</sup> The reaction time is 8 h.

In general, base-catalyzed self-addition of aldehydes to form β-hydroxy aldehyde is successful under mild conditions, but only with relatively low molecular weight aldehydes. However, not only pentanal but also heptanal and decanal provided the self-addition adducts in excellent yields without contamination by α,β-unsaturated aldehydes by means of this new  $\text{Ti}(\text{O-}n\text{-Bu})_4/t\text{-BuOK}$  system. The molar ratio of  $\text{Ti}(\text{O-}n\text{-Bu})_4/t\text{-BuOK}$  played a critical role for the successful reaction. The use of the reagent generated from  $\text{Ti}(\text{O-}n\text{-Bu})_4/t\text{-BuOK} = 2/1$  afforded no aldol adducts in the reaction of **1a**. The yield of **2a** dropped to 60% and unidentified complex compounds were obtained as by-products with the reagent ( $\text{Ti}(\text{O-}n\text{-Bu})_4/t\text{-BuOK} = 1/2$ ). The reaction mechanism might be as follows. The combined base  $\text{Ti}^-(\text{O-}n\text{-Bu})_4(\text{O-}t\text{-Bu})\text{K}^+$  abstracts α-hydrogen of the aldehyde to give titanium enolate **3**. An addition of this enolate to a second molecule of the aldehyde would afford adduct **4**. The excellent yield of β-hydroxy aldehyde could be attributed to the stabilization of the cyclic chelated intermediate **5** which could be formed through the migratory addition of *n*-butoxide on the titanium to the aldehyde moiety of aldol product **4** as in the previous reaction between aldehyde silyl enolate and other aldehydes (Scheme 2).



Then, the cross-aldol addition reactions between two different aldehydes were examined. The aldehydes, such as benzaldehyde (entries 1–3 in Table 2), cinnamaldehyde (entries 4 and 5), and 2-octynal (entries 6–11) which do not have an acidic  $\alpha$ -hydrogen were used as the second component. For example, treatment of a mixture of hexanal (1.0 mmol) and 2-octynal (1.5 mmol) with a mixture of  $\text{Ti}(\text{O}-n\text{-Bu})_4$  (2.5 mmol) and *t*-BuOK (2.5 mmol) in THF at 0°C for 4 h gave **8g** in 91% yield. The results are summarized in Table 2.<sup>10</sup>

Table 2  
 $\text{Ti}(\text{O}-n\text{-Bu})_4/t\text{-BuOK}$ -induced cross-aldol reaction



Entry	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	Product	Yield(dr) <sup>a</sup>
1	<i>n</i> -C <sub>3</sub> H <sub>7</sub>	H	Ph	<b>8a</b>	97%(57/43)
2	<i>n</i> -C <sub>5</sub> H <sub>11</sub>	H	Ph	<b>8b</b>	97%(56/44)
3	<i>n</i> -C <sub>8</sub> H <sub>17</sub>	H	Ph	<b>8c</b>	99%(62/38)
4	<i>n</i> -C <sub>3</sub> H <sub>7</sub>	H	PhCH=CH-	<b>8d</b>	86%(55/45)
5	<i>n</i> -C <sub>5</sub> H <sub>11</sub>	H	PhCH=CH-	<b>8e</b>	81%(50/50)
6	<i>n</i> -C <sub>3</sub> H <sub>7</sub>	H	<i>n</i> -C <sub>5</sub> H <sub>11</sub> C≡C-	<b>8f</b>	93%(54/46)
7	<i>n</i> -C <sub>5</sub> H <sub>11</sub>	H	<i>n</i> -C <sub>5</sub> H <sub>11</sub> C≡C-	<b>8g</b>	91%(50/50)
8	<i>n</i> -C <sub>8</sub> H <sub>17</sub>	H	<i>n</i> -C <sub>5</sub> H <sub>11</sub> C≡C-	<b>8h</b>	90%(50/50)
9	(CH <sub>3</sub> ) <sub>2</sub> CH	H	<i>n</i> -C <sub>5</sub> H <sub>11</sub> C≡C-	<b>8i</b>	89%(53/47)
10	PhCH <sub>2</sub>	H	<i>n</i> -C <sub>5</sub> H <sub>11</sub> C≡C-	<b>8j</b>	99%(51/49)
11	CH <sub>3</sub>	CH <sub>3</sub>	<i>n</i> -C <sub>5</sub> H <sub>11</sub> C≡C-	<b>8k</b>	99%

<sup>a</sup> The yields and diastereomer ratios were determined by NMR. The products were purified through silica gel column chromatography.

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- Synthesis of  $\alpha,\beta$ -unsaturated carbonyl compounds by titanium tetraalkoxide ( $\text{Ti}(\text{O}-i\text{-Pr})_4$  or  $\text{Ti}(\text{O}-n\text{-Bu})_4$ ) induced aldol condensation has been reported. See: Mahrwald, R.; Schick, H. *Synthesis* **1990**, 592–594.
- The combination of  $\text{Ti}(\text{O}-i\text{-Pr})_4/t\text{-BuOK}$  gave almost the same yields of aldol adducts as  $\text{Ti}(\text{O}-n\text{-Bu})_4/t\text{-BuOK}$ . The use of  $\text{Al}(\text{O}-i\text{-Pr})_3$  in place of  $\text{Ti}(\text{O}-n\text{-Bu})_4$  resulted in 80% recovery of heptanal along with an unidentified complex mixture. The reaction of heptanal with a combined system ( $\text{Ti}(\text{O}-n\text{-Bu})_4/\text{LDA}$ ) afforded the aldol adduct in only 50% yield.
- The general experimental procedure is exemplified by the reaction of heptanal:  $\text{Ti}(\text{O}-n\text{-Bu})_4$  (0.680 g, 2.0 mmol) and  $\text{KO}-t\text{-Bu}$  (0.224 g, 2.0 mmol) were dissolved in 5 ml of dry THF under argon at room temperature. After being stirred for 10 min the solution turned clear. Then the solution was cooled to 0°C and heptanal (0.228 g, 2.0 mmol) was added and the resulting mixture was stirred for 4 h at 0°C. Then, the reaction mixture was worked up by quenching with 1N HCl aqueous solution and the aqueous layer was extracted three times with ethyl acetate:hexane (1:3). The combined organic layers were washed with brine, dried and filtered with  $\text{Na}_2\text{SO}_4$ , concentrated in vacuo affording product **1** in 94% yield after silica-gel column purification.
- The cross-aldol reaction between aldehyde and 2-alkynal could not give a satisfactory result under ordinary conditions because of the high reactivity of 2-alkynal and its aldol adduct. In fact, treatment of a mixture of heptanal and 2-octynal with  $t\text{-BuOK}$  alone in THF at 0°C provided a complex mixture. Thus, titanium alkoxide could play a critical role for the successful reaction.